



Sarbanes-Oxley 404 Consulting Services

Most public companies today are all-too-familiar with the Sarbanes-Oxley Act of 2002: formed in the wake of accounting scandals that rocked Enron, Worldcom, Tyco, and others, the Sarbanes-Oxley Act (SOX) tightened accounting regulations regarding transparency, disclosure, and evaluation of internal controls across the board. Since then, public companies have focused on working through the regulations – practically, financially, and logistically – to get the most value for their investment in sound financial controls.

While some of those businesses have their systems for handling SOX's demands firmly in place at this point, those businesses are primarily accelerated filers. Although non-accelerated filers have been given a reprieve of the external auditor internal control attestation, it is still required by law that management attests to the internal controls. A focused, value-added approach is important to prevent compliance efforts from becoming stale, outdated, and inefficient. The high risk and lower risk areas have become blurred without any updated scoping and risk assessment exercise. It is crucial that non-accelerated filers fully understand the regulations so that they can handle SOX's requirements efficiently, effectively, and at minimum expenditure of time, effort, and money.

When seeking consulting on SOX compliance, business owners should feel secure that their advisors are knowledgeable, experienced, and dedicated to value-added client service. It is for these reasons that **Grassi Consulting** is proud to have a specialized consulting team with the experience, expertise, and skill to help companies who are looking for a more personalized, customized approach to an issue which is likely new and obscure.

Grassi Consulting's SOX methodology has been precisely constructed by the very people who lead and manage every SOX engagement. Over the years since SOX was passed, our team has created, honed, and streamlined this methodology to operate smoothly and efficiently for clients of all types and sizes. By adapting this system to a company's particular circumstances, **Grassi Consulting** is able to custom-build value-adding SOX solutions around its clients' needs.

Grassi Consulting guides clients through a comprehensive process which includes various components fitted to the particular client, among which are:

- Risk Assessment
- Entity Level Assessment
- Process Documentation
- Value-Added Observations and Recommendations
- Analysis of Deficiencies
- Audit Committee Oversight
- IT General Controls
- Company Advocacy

Companies who are required to become SOX compliant will need intelligent, professional guidance to ensure that they efficiently and effectively handle this new set of business guidelines, while minimizing cost. The Grassi team empowers clients to become and remain compliant in today's challenging business world.



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