

Grassi works with a significant number of companies, across a wide range of industries, to conduct audits of their retirement and pension plans. Our Employee Benefit Plan Services Group has extensive experience auditing 401(k), 403(b), pension, retirement, savings, health and welfare plans in accordance with Benefit Plan audit requirements. We are a market leader in sharing up-to-date regulations and audit requirement changes as they happen to help clients proactively prepare for compliance.

An audit conducted in accordance with Employee Retirement Income Security Act (ERISA) regulations, in addition to United States Generally Accepted Accounting Principles (US GAAP); Department of Labor (DOL) and Internal Revenue Service (IRS); includes specific procedures designed to provide the auditor with assurance regarding the presentation of various elements of financial statements.

The audit includes the testing of certain information to ensure transactions have been properly captured and recorded. This testing will include participant eligibility, employee and employer contributions, employee distributions, and other areas as deemed necessary.

Services include:

- Compliance Requirements
- Internal Controls
- Risk Assessment
- Compliance with Plan Documents
- Related Parties, Parties-in-interest and Prohibited Transactions
- Form 5500
- ESOPs

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